

PF44255/V1

RIVOSH, MAXIM.

PF44255/V1

W
47

134

KV 2/2963

PF44255/V1

AVO. 1/67 5.2-264

n 83

PF

44,255.

VOLUME 1.

FILED

R I V O S H, DR. MAKSIN.

~~DIS~~ R1306

See Also

0065

Officer
or
Serial No.

Section

Date

Officer
or
Serial No.

Section

Date

S13

~~D3~~

K3

6 SEP 1968

PA

10 SEP 1968

~~PA~~

23 DEC 1968

PA

2 JAN 1970

K3

2 FEB 1971

~~PA~~

1 JUN 1971

PA

26 AUG 1971

~~PA~~

2 AUG 1971

PA

8 AUG 1973

R8

10 AUG 1975

K1

13 AUG 1976

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

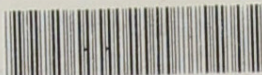
~~PA~~

~~PA~~

~~PA~~

~~PA~~

3 APR 1985



PF44255/V1

8 SEP 1976

K2/D2

1 OCT 1977

~~PA~~

4 OCT 1976

~~PA~~

4 OCT 1976

~~PA~~

8 OCT 1976

~~PA~~

27 JUN 1980

~~PA~~

14.11.80

~~PA~~

0861 NON 21

~~PA~~

25.3.81

~~PA~~

27 MAR 1981

~~PA~~

1 JUN 1981

~~PA~~

29/5/81

~~PA~~

3 APR 1985

~~PA~~

2 APR 1985

~~PA~~

08 AUG 2007

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

~~PA~~

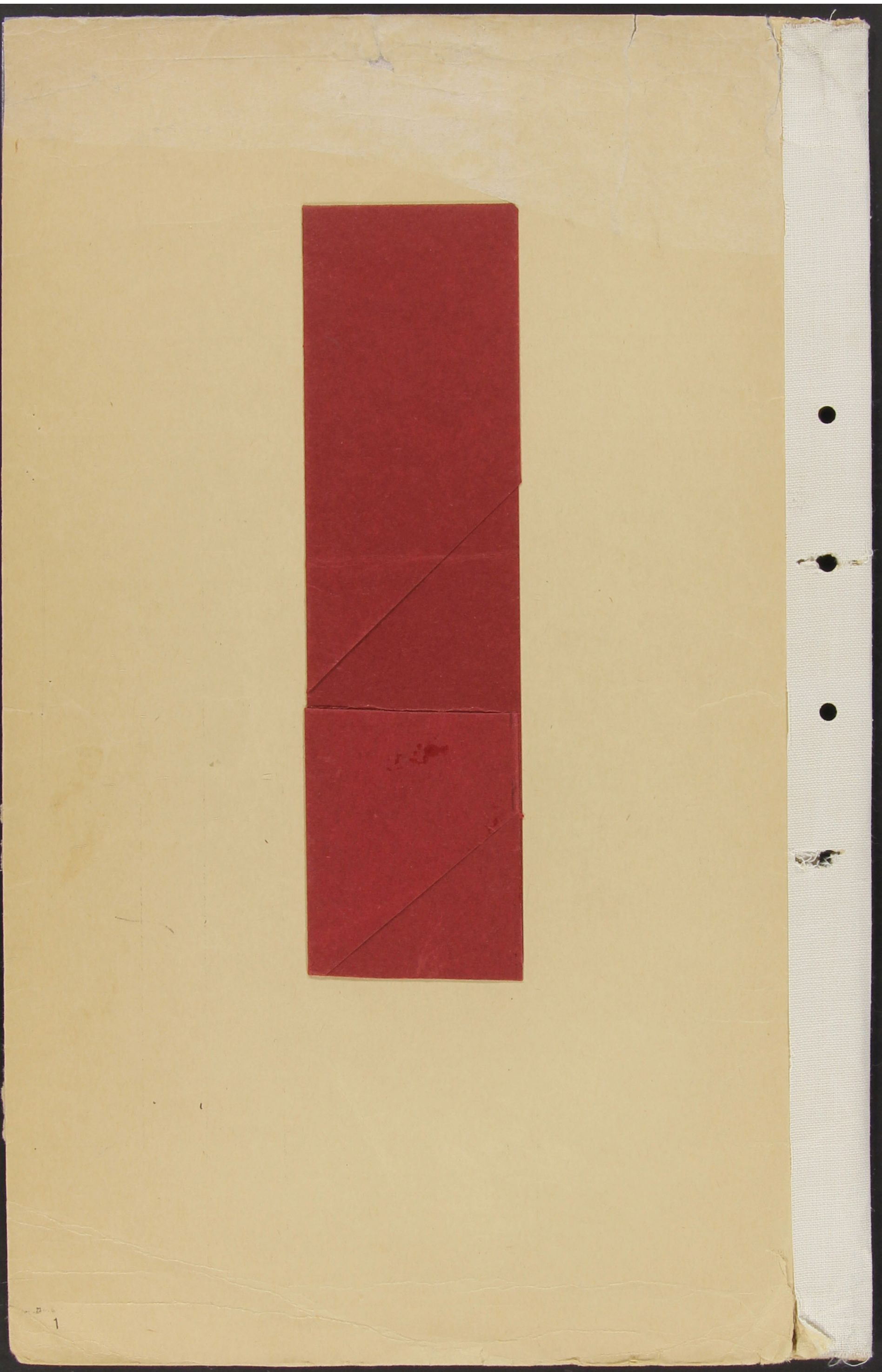
~~PA~~

~~PA~~

PF

44,255.

VOLUME 1.



Register No. P.F. 44255. RIVOSH.

Minute Sheet No.

1.

15.5.35. From S.I.S. re arrest of Maksin RIVOSH. @ Joseph WALDEN. 1a.

2.

20.5.35. From S.I.S. in continuation of 1a. 2a.

3.

12.6.35. From S.I.S. re Maksin RIVOSH @ Joseph WALDEN. 3a.

4.

18.6.35. S.3a. asked to try T.I. for Joseph WALDEN & Werner KNOP. 4a.

5.

18.6.35. To S.I.S. re Maksin RIVOSH @ Joseph WALDEN. 5a.

6.

18.6.35. To S.I.S. re KNOP of 2a. 6a.

7.

24.6.35. From S.I.S. re Maksin RIVOSH @ Joseph WALDEN. 8a.

9.

29.6.35. To S.I.S. in reply to 8a. 9a.

10.

8.7.35. To U.S. Embassy re Maksin RIVOSH @ Joseph WALDEN. 10a.

17.7.35. Supplement No.3. of 1935. to Straits Settlements Police Journal, re Joseph WALDEN. 10b.

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958. June 2008

11.

24.7.35. To S.I.S. enclosing Straits Settlements Police Political Intelligence Journal No. 5/1935 dated 31.5.35. with supplement regarding Maksin RIVOSH @ Joseph WALDEN. 11a.

12.

9.8.35. S.I.S. report re Geo. Alexander KENNEDY and Mrs POUTSMA, and referring to 8a. 12a.

13.

12.8.35. S.I.S. report re Maksin RIVOSH @ Joseph WALDEN. 13a.

14.

15.8.35. To U.S. Embassy re Geo. Alexander KENNEDY. 14a.

15.

15.8.35. From I.P.I. asking for loan of documents concerning Maksin RIVOSH @ Joseph WALDEN as forwarded by S.I.S. at 8a. 15a.

16.

2.9.35. Press cutting from the "Times" re attempt to rescue Maksin RIVOSH by aeroplane. 16a.

17.

2.9.35. From S.I.S. re Maksin RIVOSH, and in continuation of 13a. 17a.

18.

4.9.35. Letter to U.S. Embassy re Maksin RIVOSH. 18a.

19.

7.9.35. Press cutting from the "Morning Post" re attempt to rescue Maksin RIVOSH by aeroplane. 19a.

20.

10.9.35. From S.I.S. re Maksin RIVOSH. 20a.

21.

12.9.35. To. U.S. Embassy re Maksin RIVOSH. 21a.

22.

16.9.35. From S.I.S. re RIVOSH. 22a.

23.

18.9.35. From S.I.S. re RIVOSH. 23a.

24.

19.9.35. From S.I.S. re P.N.NEY. 24a.

25.

20.9.35. Cable to R.C.M.P. 25a.

26.

21.9.35. Letter to R.C.M.P. 26a.
23.9.35. Extract re RIVOSH @ WALDEN. 26b.

Remmed for 8/4/China

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958. MAY 2008.

Register No.

Minute Sheet No.

27.

3.10.35. From R.C.M.P. re NEY.

27a.

28.

4.10.35. Letter to S.I.S. forwarding copy of 27a.

28a.

29.

4.10.35. From S.I.S. re "RIVOSS".

29a.

30.

4.10.35. From S.I.S. re NEY.

30a.

31.

5.10.35. Letter to U.S.Embassy.

31a.

32.

11.10.35. S.I.S. report re - .

32a.

33.

11.10.35. From S.I.S.

33a.

34.

14.10.35. Letter to S.I.S.

34a.

35.

22.10.35. S.I.S. report re identity of -, and referring to 33a. 35a.

36.

23.10.35. S.I.S. report re identity of - and referring to 33a. 36a.

37.

26.10.35. Letter to I.P.I. enclosing copy of photograph of KOSSOV. 37a.

38.

26.10.35. Letter to S.I.S.

38a.

39.

28.10.35. Extract re - .

39a.

40.

30.10.35. From U.S.Embassy.

40a.

41.

Spoke Captain Liddell re 40a. He promised to find out from S.I.S. whether this information would, in the ordinary course, have been passed to the American authorities in Shanghai.

S.9.a.

30.10.35.

	42.	
8.11.35.	From S.I.S.	42a.
	43.	
12.11.35.	From R.C.M.P. in continuation of 21.9.35.	43a.
14.11.35.	From S.I.S. re unidentified foreign communist.	43b.
	44.	
14.11.35.	To Canada in reply to 43a.	44a.
	45.	
15.1.1.35.	To S.I.S. re ^{43b} 42a .	45a.
	46.	
15.11.35.	To I.P.I. re ^{43b} 42a .	46a.
	47.	
16.11.35.	To S.I.S. re 28a.	47a.
	48.	
27.11.35.	From U.S. Embassy re identity of Joseph WALDEN @ RIVOSH.	48a.
	49.	
10.12.35.	Letter to S.I.S.	49a.
	50.	
18.12.35.	From S.I.S. re Communist activities in Shanghai. The unidentified foreign communist.	50a.
	51.	
19.12.35.	From I.P.I. with reference to 32a re D. GHISLAIN and A. YOVISHOV.	51a.
	52.	
24.12.35.	From R.C.M.P. re 44a.	52a.
	53.	
30.1.36.	From S.I.S. sending fingerprints of RIVOSH.	53a.
	54.	
30.1.36.	S.I.S. report re Communist Activities in Shanghai and referring to 34a.	54a.
	55.	
12.2.36.	Summary of message from U.S. Embassy re BRINSON.	55a.
	56.	
17.2.36.	Extract from letter from U.S. Embassy re RIVOSH.	56a.

Register No.

Minute Sheet No.

57.

19.2.36. Copy of letter to S.I.S. forwarding papers
received from Americans re BERGER and - . 57a.

58.

25.2.36. From S.I.S. returning papers re - forwarded at
57a. 58a.

59.

2.3.36. To S.I.S. re 58a. 59a.

60.

4.3.36. From S.I.S. re 59a. 60a.

61.

3.36. To U.S.Embassy, re documents found on WALDEN and BERGER. 61a.

62.

15.4.36. To S.I.S. re 55a. 62a.

63.

50.4.36. From S.I.S. re 62a. 63a.

64.

7.5.36. Copy of account of interview with T.P.GIVENS. 64a.

65.

26.5.36. From U.S. Embassy with reference to 14a, re George
Alexander KENNEDY. 65a.

66.

2.6.36. To S.I.S. re 65a. 66a.

67.

4.6.36. From S.I.S. re BRINSON and LEMCKE. 67a.

68.

15.7.36. From S.I.S., dated 14.7.36, in reply to 66a. 68a.

69.

1.4.37. From S.I.S., dated 31.3.37, with reference to 50a. 69a.

70

26.10.37. From S.I.S. re 69a. 70a.

71.

29.10.37 To S.I.S. in reply to 70a.

72.

71a

18.11.37. From S.I.S. re 71a.

72a.

73.

18.11.37. Copy of letter from S.I.S. re. the "WALDEN" case.

73a.

74.

24.8.38. Cross reference to -

74a.

75.

1.12.44. O.S.S. Visa application for George Alexander KENNEDY.

75a.

76.

7.12.44. Memo to E.I.A/U.S.A. from D.4.a.4. re G.A. KENNEDY.

76a.

77.

13.12.44. Memo to D.4.a.4. from F.2.b/c re KENNEDY.

77a.

78.

16.12.44. Replying to Home Office no objection re G.A. KENNEDY.

78a.

17.12.44. From H.O. Aliens Dept. re Alexander KENNEDY.

20.1.45. Ext. from letter from M.I.6.

78c

79

28.7.45. From M.I.5. Liaison Section re de la NEY DUVERT

79a

80.

8.8.45. From S.I.S. re NEY referring us to this file

80

81.

14.8.45. To M.I.5. Liaison Section giving details re P.N. NEY

81a

23.3.48. Note from FBI

81b

82.

~~15.6.48. From American Embassy to S.I.S. re RUDAKOV.~~~~82a.~~

Transferred to O.F. 339 on 2.7.48. J.E.W.

83.

8.9.49. From R.5. G.2. returning photostat copy of finger prints of RIVOSH

83a.

MINUTE SHEET

Reference.....

1.6.50.	Ext. from American Embassy letter ^{84.}	84z
15.7.50.	Copy of letter to Mr. Gimperman about identification of "Leon".	84a
	85.	
20.7.51.	From S.I.S. RE DUNCAN etc.	85a.
	86.	
	87.	
28.8.51.	To S.I.S. re DUNCAN.	87a.
	88.	
30.8.51.	From S.I.S. re DUNCAN enclosing copy of their letter to Washington.	88a.
	89.	Transferred Vol. 2.
10.9.51.	To S.I.S. re DUNCAN.	89a.

"FILE CLOSED"

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958. MAY 2008

[OVER]

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
AT DEPARTMENT UNDER SECTION
3 (1) OF THE PUBLIC RECORDS
ACT 1958

Copy in F.F. 70184 NAIDIS.

SECRET

870

F.F. 44255/B. 2. b. /NH

28th August, 1951.

Dear

Harvey Gail DUNCAN.

850

Please refer to your [redacted] dated 19th July, 1951. We have at last received the following information on Harvey Gail DUNCAN:- He was born on 29th November, 1905; his present address is 95 Walton Street, S.W. 3. On 24th October, 1944, he arrived at Liverpool from Bombay with British/Indian Passport No. 135993, issued in Bombay. [redacted]

With regard to the other individuals mentioned in your letter, there has been a considerable correspondence between our offices on them, ending with your [redacted] of 18.11.37 and our S.F. 441/Austria/Supp/B. 2. b. /AFB of 25.2.49. The only recent information which you may not have is that contained in Intelligence Organisation, Austria's letter, reference 10/1/51/1000/G of 4th May, 1949, which reads as follows:-

"Although extensive enquiries have been made we are still not able to tell whether or not Captain NAIDIS and Captain MIKSHA are identical.

Captain NAIDIS is believed to have left Austria and not to have returned to this country."

Yours sincerely,

NH/VBJ

SECRET

PEH/MS/24
13 9 51
13 9 51
29 5 51

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958. MAY 2008.

THIS IS A COPY
ORIGINAL OF DOCUMENT RETAINED
IN DEPARTMENT OF THE ARMY
SECTION OF THE PUBLIC RECORDS
NOV 1963

86A.

THE ORIGINAL DOCUMENT
RETAINED IN DEPARTMENT
UNDER SECTION 3(4) OF
THE PUBLIC RECORDS
ACT 1958. MAY 2008.

18
7.00

THE ORIGINAL DOCUMENT
RETAINED IN DEPARTMENT
UNDER SECTION 3(1) OF
THE PUBLIC RECORDS
ACT 1958. MP 1 2008

Secret.

Copyin P.F. 134, 86A

8533

In reply, state NUMBER and DATE.

dated 19th July 1951

856

Dear _____

I should be grateful if you would let me know whether you have any record of an Englishman named Harvey Gail DUNCAN, or of any of the individuals mentioned below.

Extd.
P.F. 70, 184

are interested in discovering the whereabouts of DUNCAN as they wish to question him in connection with the activities of Eugene BRINSON, Julius Carl LEMCKE and Brian E. NAIDIS (NADIS) who were implicated in 1935 in an attempt to secure the escape of Joseph WALDEN (alias Maxim RIVOSH) from a Hankow jail.

DUNCAN is said to have at one time been employed by LEVER Bros. in Shanghai, and to have visited the U.S.A. towards the end of the last war, still in the employ of the same firm. He is now thought to be in the U.K.

ENCL _____

Yours sincerely,

Encl. 20 JUL 1951

TO B2B.

REF M 44255

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958. June 2008

PEF/21
13.9.51

I.O. 16./11.46.

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
5 (1) OF THE PUBLIC RECORDS
ACT 1958. 12.6.2015

SECRET

Copy in: PF.44246 ASPAAS
 PF.42991 WALSH
 PF.42968 EWERT
 PF.44255 RIVOSH

PF.46771/B.2.b/22

15th July, 1950

Dear Clapman,

Please refer to your letter No. 3048 of 8th June, 1950. The ABRAMS mentioned by Nicholas DOZUBERG is presumably identical with Helen RIVOSH & Joseph WALDEN. I enclose a copy of his card from our Spies Index. A diary found in this man's possession mentioned the name "Leon" which it was thought at the time probably referred to Leon HINSTER, a copy of whose Spies Index card was sent to you on 26th October, 1949. It is clear, however, from his description that HINSTER is not identical with "Paul". It was alternatively suggested that the Leon mentioned in WALDEN's diary referred to Leonard ASPAAS, on whom I attach a note.

DOZUBERG's description of Paul in some respects fits that of Arthur Ernest EWERT & Harry BENDER, whose photograph was recognised by RIVITNY and who I imagine is well known to the F.B.I. When this man was arrested in Brazil in 1936 he was in possession of certain code messages which it was thought might have some connection with those found on Joseph WALDEN.

Another possibility is that Paul is identical with Paul Eugene WALSH & HINTON, whose photograph was also recognised by RIVITNY. I think that this man, who was holder of American passport No. 351741, issued on 12th December, 1939, is also well known to the F.B.I. I wonder if it would be possible for DOZUBERG to be shown photographs of EWERT and WALSH in the hope of identifying Paul.

I notice that Leon HINSTER's brother, Robert, was active in China as a radio operator in 1934, and it seems possible that he is identical with the radio operator in Tientsin mentioned by DOZUBERG.

I also attach a copy of the card from our Spies Index for Brian HALES, who is mentioned in connection with WALDEN.

Yours sincerely,

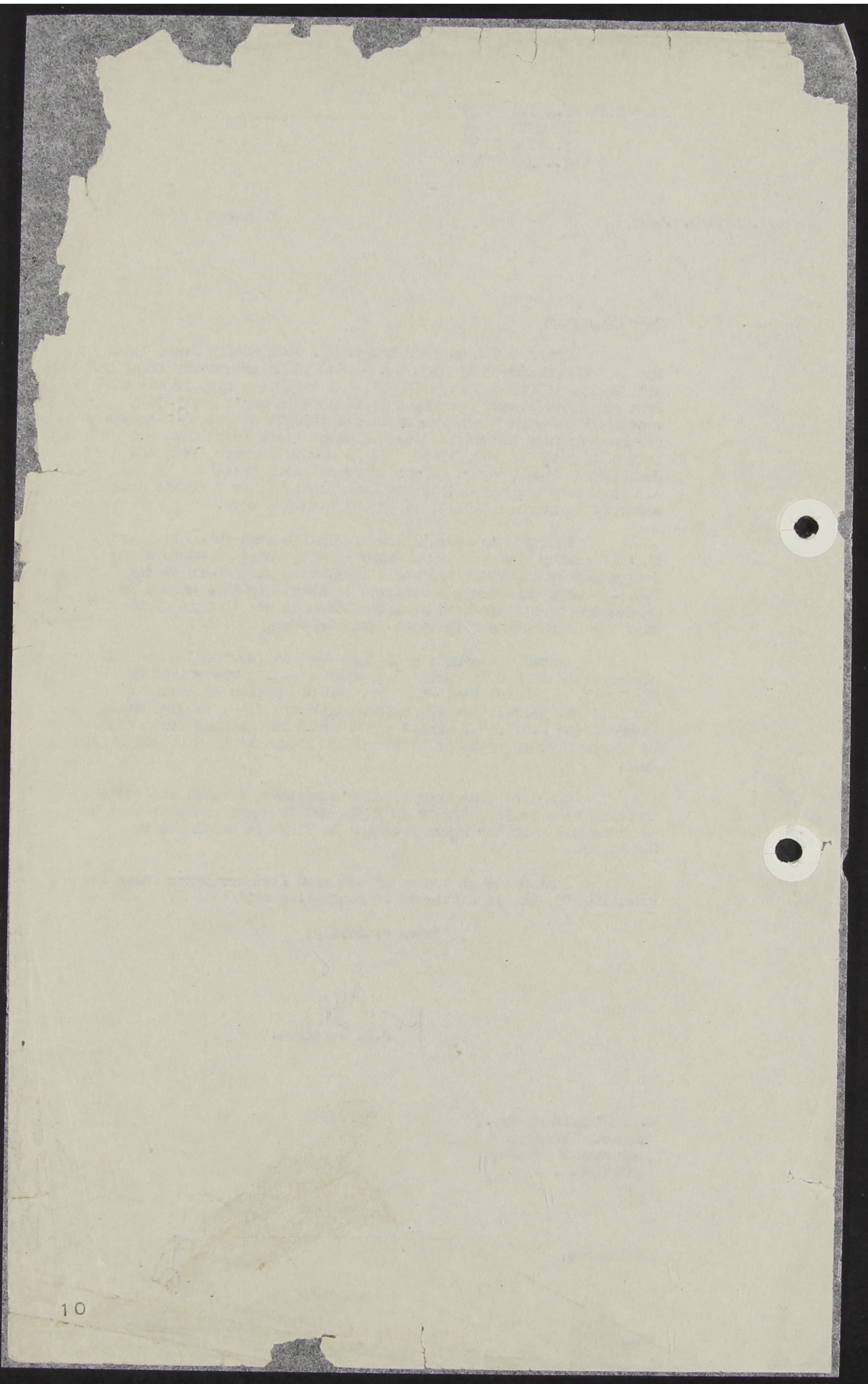
for J.H.
 J.H. Harriott.

J.A. Clapman, Esq.,
 American Embassy,
 1 Grosvenor Square,
 London, W.1.

Enclosures.

15/10

SECRET



S Form 354 rev. 7.64 5m 12.65

XEROGRAPHIC COPYFILE AND RETURN TO D3 / EPYFor File No.: P.F. 44,255Name: ABRAHMSCopied on: 9.4.68. by: J.E. / R4

Only to be completed if not obvious

Original in File No. P.F. 48,771 Vol.: - Serial: 402 Receipt Date: 9.6.50Original from: Letter from American Under Ref.: 3048 Dated: 8.6.50.
Embassy.

1 1



THE FOREIGN SERVICE
OF THE
UNITED STATES OF AMERICA

American Embassy
1, Grosvenor Square
London, W. 1
June 8, 1950

ADDRESS OFFICIAL COMMUNICATIONS TO:

No. 3048

Dear Mr. Marriott,

See U 2/2

The Bureau is interested in identifying an individual known as "PAUL," who was stated by Nicholas DOZENBERG, former Soviet agent, to have initiated DOZENBERG to Soviet espionage in China in 1933 or early 1934. When interviewed by Bureau Agents in 1944, DOZENBERG stated that "PAUL" frequently contacted him and that "PAUL" had a group of Chinese agents accumulating information relative to Japanese military activities. The Soviet organization which was engaged in this activity was stated to have been directed by one ABRAHMS who resided in Shanghai. According to DOZENBERG, ABRAHMS was arrested by the British Police during the latter part of 1935 or early 1936, and at the time of his arrest had in his possession documents indicating that "PAUL" was an agent working under him. As a result of ABRAHMS' arrest, it was necessary for "PAUL" to leave Tientsin and return to Moscow. No one replaced "PAUL," and DOZENBERG received no funds from the organization for a considerable period of time.

Could you ascertain the identities of ABRAHMS and "PAUL" (whose papers ABRAHMS had at the time of his arrest in 1935-36) and let me know of the results.

Sincerely yours,

J. A. Gimperman
J. A. Gimperman

Mr. John H. Marriott
Leconfield House
Curzon Street
London, W. 1

ENCL
9 - JUN 1950
TO B2
REF 48771

msit 14/6
B26
MW.
63/EOIC
9.5.68

6521

839

Miss Dabell's Secretary M.I.5.

I am returning herewith the photostat copy of the
finger prints on Joseph WALDEN alias Maximo RIVOSS which you
were kind enough to lend us.

Many thanks.

L.V. & Co please.

R5G2 *RE*
7.9.49.

INCL

- 8 SEP 1949

TO *B2B*

REF

28/122
✓

13

Name WALDEN, Joseph

Name in Chinese characters.....

Alias in Chinese characters.....

Age about 40 Height about 5'8"

Trade or calling Teacher of languages * (?)

Country or Province.....(?).....

Last known address 169 Yuen Ming Yuen Rd (office)
1986 Avenue Joffe (home)

-X. speaks German, English,
French & Russian.

Photograph No.

B. R. No.

CRIMINAL RECORD

M. O. No.

Form 13.
G. 60m-10-33

SHANGHAI MUNICIPAL POLICE.

FINGER PRINT RECORD.

No.

Classification _____

RIGHT HAND. 右手

1.—Right Thumb 右拇指	2.—R. Fore Finger 右二指	3.—R. Middle Finger 右中指	4.—R. Ring Finger 右四指	5.—R. Little Finger 右小指
				
[FOLD.]				[FOLD.]

Impressions to be so taken that the flexure of the last joint shall be immediately above the black line marked (Fold). If the impression of any digit be defective a second print may be taken in the vacant space above it.
When a digit is missing or so injured that the impression cannot be obtained, or is deformed and yields a bad print, the nature of the injury should be noted in the space provided for that digit and "healed" or "unhealed" specified.

LEFT HAND. 左手

6.—Left Thumb 左拇指	7.—L. Fore Finger 左二指	8.—L. Middle Finger 左中指	9.—L. Ring Finger 左四指	10.—L. Little Finger 左小指
				
[FOLD.]				[FOLD.]

LEFT HAND. 左手
Dabbed Impressions of Four Fingers Taken Simultaneously.

RIGHT HAND. 右手
Dabbed Impressions of Four Fingers Taken Simultaneously.

IMPORTANT. No prisoner other than the prisoner whose finger prints are being taken should be present while that operation is being done. (1) The Identification Record should first be filled in and the prisoner's left thumb print immediately placed thereon: (2) the finger prints should then be taken on the form provided and (3) the name of the person taking the impressions, the date and Station, should be noted in spaces provided at the foot of the finger print record which, if in order, should be signed by the officer on duty.

TO BE FILLED IN AT STATION.	Impressions taken by	FOR P. O. USE ONLY	Date received
	Date		Classified by
	Where taken		Checked by
	Officer on duty		Passed Correct

B.2.B. NOTE.

P.H. r Add
trace to CS.

The attached has been removed from an album compiled by the F.B.I. of known and suspected Soviet Intelligence Agents ~~in the United States~~ and forwarded by the American Embassy, London, under Ref. 2450 dated 22.3.48, received 23.3.48.

The covering letter, which contains no further information on any of the individuals named, is filed at 1A in PF. 77742. (List of known and suspected Soviet Intelligence Agents in U.S.A.)

January, 1949.

Registry Instructions:

Please L.U.

If file: p.a.

If traces: make PF. and p.a.

If NT: make PF. and send to B.2.B./ND.

816

16

B.

43

31a

NAME: ABRAHMS

RESIDENCE:

DESCRIPTION: Height 5 ft. 6 ins. Hair dark. Eyes dark. Jewish

ORIGIN: Born: c. 1900

ESPIONAGE FUNCTIONS: It is alleged by DOZENBURG that in 1934 ABRAHMS was in charge of all Soviet Military Intelligence organisations in the Orient. He lived in Shanghai. He is said to have been arrested by the British Police 1935/36 in China.

R4

PF4877. CS.

PF 48771 DOZENBURG Nicholas CS.

JDF 197.

and Dr 20/1.

APN R4.

15 2-48

FILE AND RETURN TO D3/EA4

P.A. in P.F. 44,255

Orig. in P.F. 48,771

Serial 31a Receipt date 23.3.48

D3/EOC
9.5.68

S Form 28 3m 9.67

EXTRACT

FILE AND RETURN TO

Extract for File No.: P.F. 44,255 Name:.....

Original in File No.: P.F. 48,255 Vol: - Serial: 25a Receipt Date: 20.1.45.

Original from: Letter from M.I.6. Under Ref.: Dated: 18.1.45.

Extracted on: 10.4.68. by: J.D. Section: R.4.

Copy of letter and Extract from attachment from M.I.6. re the re-interrogation
by the F.B.I. of DOZENBERG, Nicholas (1928 & 39: Member of the Soviet Military
Intelligence Service),

18

cret.

SECRET

In reply, state NUMBER and DATE

Report

F2B

PFB 4.87.71

dated 18th January, 45

Note I telephoned

this letter. It appears that he
 seen any of the previous com
 in this matter (incl. our letter of 15.2.45)
 Nor has he seen the Martin-Pagette
 Kantor, Rue 99 Rouleux etc files. I gave
 of 18.7.40 and previous letter his

Dear Miss Bagot,

1. We would refer you to our letter

correspondence in connection with Nicolas DOZENBERG alias W.L. DALLANT alias
 SEITER. X

2. Our representative in New York informs us that DOZENBERG has recently
 been re-interrogated by the F.B.I., and we attach a copy of this latest
 interrogation.

3. Apparently much of it is new to the F.B.I. and they have appealed to us
 to try and give them further information on certain points.

4. They have specially requested that they might have any information relative
 to ABRAHMS, who is supposed to have been arrested by the British authorities
 in Shanghai in 1935 or 1936 for alleged espionage activities. They would
 also like to have any information regarding the identity of "Paul", or of the
 German radio operator used by the Soviet Military Intelligence in Tientsin.
 In fact, further data on any of the individuals mentioned would, they say, be
 of great value.

5. The F.B.I. are also anxious to obtain all possible information regarding
 the false [Frank Ignacious KLEGES] whom they have now identified as one John
 KIRCHENSTEIN. They would like us to let them have specimens of handwriting
 and typewriting found in the safe and files of the Far Eastern Fur Trading
 Company when it was opened in 1940.

6. The F.B.I. would appear to be especially interested in a letter dated
 4.10.37 from Paris to the Far Eastern Fur Trading Company signed F. KLEGES.
 Photostats of this and any similar material would be of invaluable assistance
 to them.

7. Our friends in New York are also interested in one Solomon KANTOR, who

according/...

SECRET

D3/EOIC
 9.5.48

Secret.

-2-

In reply, state NUMBER and

according to DOZENBERG is British by birth and a naturalised American citizen.

8. KANTOR is alleged to have been active in Communist affairs, and in 1936 left New York for Moscow.

9. DOZENBERG could not identify KANTOR further, but he believed that he might be identical with Ed. KANTOR, a linotype operator. KANTOR's description is:- Aged about 40 (in 1930); 5' 11" tall; rather slim, build; Jewish in appearance; married, two children. He was acquainted with both KLEGES and TILTON.

10. We would be most grateful for your comments on the above.

Yours sincerely, -

for H.A.R. Philby.

Miss M.J.E. Bagot,
M.I. 5.

S Form 81B 5m 12.67

TOP SECRET

EXTRACT

Extract for File No.: Name:

Original in File No.: Vol.: Serial: Receipt Date:

Original from: Under Ref.: Dated:

Extracted on: by: Section:

Soviet Military Int. DOZENBERG

The new / chief wanted / to return to China, which he agreed to do. He was advised that arrangements could be made for a trip and he expected to purchase an American or British firm in Shanghai which would be used as a front for the organization's activities. Prior to the time he left Moscow this plan was changed and he was instructed to return to the United States and establish a business firm in some Coastal area. D. stated that he refused to undertake such a venture and, a short time thereafter, was given the sum of \$600 and permitted to return to the United States. After his return he was arrested in Bend, Oregon, for conspiracy to violate the passport laws and since that time he has had no contact or association with the / organization.

Soviet Military Intelligence

As stated above, the individual who directed activities in China was named Paul. D. insisted that he did not know Paul's last name or his true identity. Paul was at one time second in command of / activities in China and received instructions direct from Moscow as well as from Abrahms in Shanghai.

Military
ence

H E

DOZENBERG described Paul as 35 years old in 1935, 5' 9" tall, 200 pounds, heavy build, blond hair, blue eyes, rather round face, born in Estonia. Paul spoke English, Chinese, Japanese, Estonian, and Russian. He was married, his wife's name being Marie. Marie assisted him in all of his activities and was apparently a trusted agent. On at least two occasions she possessed code messages received from Moscow over the radio in Tientsin.

Soviet Military Intelligence

DOZENBERG stated that Abrahms was in charge of all Soviet Military Intelligence activities in China. He was arrested between 1935 and 1936 by British authorities for alleged espionage activities. DOZENBERG described Abrahms as 35 years old in 1935, 5' 6" tall, 150 pounds, dark hair, dark eyes, very Jewish in appearance. He did not believe that Abrahms was married and he could furnish no information relative to Abrahms' residence address in Shanghai.

DOZENBERG further stated that the radio operator utilized by Soviet Mil. Int. in Tientsin was a German individual who was a close friend of a Karl STOLBERG or MOSSBERG, who later purchased the Amasia Sales Corporation from Joseph FREUND. D. could furnish no description of this individual. He stated that the organization in Shanghai had a radio station and during the period of time he was in Austria en route to Rumania in 1932 he was given to understand that the organization had a radio station there. He stated that to the best of his recollection Karl MICHAELSTEN, a Latvian who formerly resided in Beverly, Massachusetts, was the radio operator for this Austrian station. Michaelsten was arrested during the middle 1930's, with Richard Bassow, for Communist activities and released shortly thereafter through some manipulation on the part of Soviet authorities.

See in
Doc
4/6/48

55/1410

68-1015

(X)

TOP SECRET

S Form 81B 5m 12.67

TOP SECRET

EXTRACT

ATTACHMENT TO M.I.6. Letter - ref

dated 18.1.45.

..... (X) During the early part of 1934 D. was instructed to go to China and establish a cover or front concern for Soviet Mil. Int. activity in that country. He was furnished sufficient money to travel to China and promised the sum of \$10,000 upon his arrival to establish any type of business he might desire. He travelled from Moscow to Berlin in the company of Marie Tilton, who was en route to Finland at that time. His wife, Katherine, nee Paegle, joined him in Berlin and they travelled to China via the United States and Japan. While in the United States he made arrangements to represent the Zenith Radio Corporation in China.

DOZENBERG

On arrival in China / was contacted by an individual known as Paul, who furnished him the sum of \$10,000. He had been given a password by which he would identify Paul which, to the best of his recollection was "Greetings from your sister, Marie". Paul gave him several thousand dollars and he and his wife proceeded from Shanghai, China to Tientsin, where he established the Amasia Sales Corporation at the edge of the British Concession, 262 Victoria Road. D. stated that Paul frequently contacted him and he learned that Paul had a group of Chinese agents working for him accumulating information relative to Japanese military activities. DOZENBERG's w. Katherine, assisted Paul on several occasions by carrying messages and money to individuals in Japan.

All of the Soviet Military Intelligence organization in the Orient at that time was directed by an individual named Abrahms, who resided in Shanghai, China. During the latter part of 1935 or early in 1936, Abrahms was arrested by the British police. He had in his possession certain documents indicating that Paul was a / agent and, as a result, it was necessary for him to leave Tientsin and return to Moscow. During Paul's absence / was contacted by an individual from the Soviet Consulate in Tientsin, who furnished him the name of Paul's successor and the date of his arrival. In the early part of 1937 Joseph FREUND, an Austrian agent of Soviet Military Intelligence, Tientsin to replace Paul. D. transferred the Amasia Sales Corporation to him and returned to Moscow.

DOZENBERG

During the latter part of 1937 / was instructed to establish a business in the Philippine Islands to be used as a cover or front for / activities. It was agreed that Paul, who was then in Moscow, would join him in the Philippines. He stated the Philippines were selected because of their nearness to Japan and the possibility of obtaining information relative to Japanese intentions and operations in the Orient from a Philippine base appeared to be excellent. / travelled to the Philippine Islands via the United States in 1938. While passing through New York City he received the sum of \$18,000 in American currency from a Soviet Mil. agent name X Joe X and made the necessary arrangements to represent the Bell and Howell Corporation in Manila. His Philippine venture proved difficult and he was later advised that Paul would not join him. In July of 1938 he closed his business in Manila and returned to the United States, stating that he had never been contacted by any / agent while in Manila. During the early part of 1939 he was instructed to return to Moscow and while there learned that Berzin had been replaced by / individual.

TOP SECRET

17 DEC 1944

78B

Telephone: Central 5272.

Please quote the
reference:-

K 29975.

Your reference:-

Home Office,
(Aliens Department),
10, Old Bailey,
London, E.C.4.

16th December, 1944.

Passport Control Department,O.S.S. APPLICATION.

The Secretary of State has decided that
a visa marked "Authorised by H.O. No.
Gen. 310/27/10" may be granted to George

Alexander KENNEDY

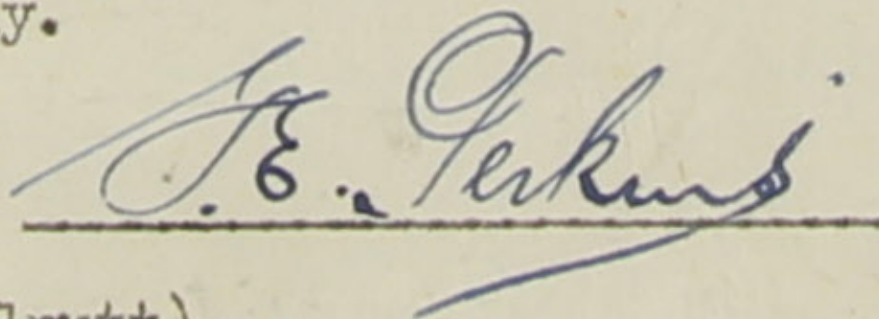
Dec

born on 17.5.01 at Mokanshan, Chekiang

Prov.

Please instruct Passport Control Officer,
New York, accordingly.

(Signed)



To: M. I. 5. (Mr. Allmutt)

With the Compliments of the
Under Secretary of State for the Home Department.

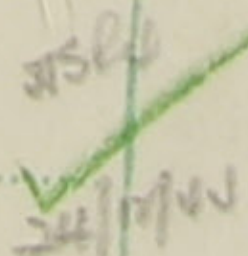
Your reference:-

D/4.

TO
REF

16 DEC 1944

DUA (4)



23

URGENT.

1802
S. Form 224

Copy sent to P.C.D.

Reference No. PF. 44255/D.4.a.4.

To:—Home Office, (Aliens Dept.)

With reference to the application for a visa for the United Kingdom
by.....George Alexander KENNEDY......Born ~~1~~^{Dec} 7.5.01.....

Reference No. Gen. 310/27/10.....dated 5.12.44.....

Confirming our telephone message we have no
objection.

E. F. Allnutt.
M.I.5.
16th Dec. 1944.

7499/CB
16/12/44 ✓

244

D.4.a.4. (Mr. Allnutt)

71a

Nothing seems to have been heard of KENNEDY see
for some years, though the American Authorities
were made aware of our suspicions concerning him
in 1935 and promised to let us know if any
further information came to their notice about
him. In the circumstances, I do not wish to
raise any objection to his visa.

F.2.b/c.
13.12.44.

H. Shillito
H. Shillito.

Phonsel H.O.
15/12/44

17/12/44 ✓
16/12/44

24B-

76a

E.1.A/U.S.A.

for EIA/US objection to Kennedy, but
 can you please comment 72B

To see with reference to G.A. KENNEDY and for
 your observations please.

Cap Byrne
 9/12

E. F. Allnutt
 E. F. Allnutt.

D.4.a.4.
7.12.44.

Fz h

For observations as regards
 G.A. Kennedy *Libby*
 D4A4 10/12/44

✓
 D4A4 10/12/44

25

File No.....P.F. 44255.

SERIALS.....79a 80a 81a.

has been removed from this file.

SEE.....95,417. DE LA NEY
DUVERT.

Removed by.....M.S. R.4.

Date.....26.8.45.

Form 195/B.P./2000/6.45

26

Home Office, ^{75a}
 (Aliens Department),
 10, Old Bailey,
 London, E.C.4.

5th December, 1944.

Please quote the reference:-
 Gen. 310/27/10.

Your reference:-

SECRET.

~~Dear Jeffes~~

Dear Major Mars

O.S.S. Applications.

With reference to the attached
 application(s) by O.S.S. in respect of
~~(a)~~ visa(s) for two of their employees, we
 should be glad to know by telephone
 (Central 5272, Ext: 27, Mr. Perkins) whether
~~your friends~~ } have any personal objection to the
 M.I.5. }
 alien on security grounds.

Yours sincerely,

Ed. J. Mathews
[Signature]

Major W. S. Mars,
 M. I. 5.

[Handwritten initials]
 ✓
 Thc 4/13
 16/12/44



HQ & HQ DETACHMENT
OFFICE OF STRATEGIC SERVICES
ETOUSA

75 a

1 December 1944

TO : H.H.C. Prestige, Esq., Home Office

SUBJECT: Request for Entrance Visa

1. We hereby request Entrance Visa to the United
Kingdom for: GEORGE ALEXANDER KENNEDY *see*
Nationality: AMERICAN
Date of Birth: 17 May '01 Place of Birth: Mokanshan, Chekiang Province
China

2. We certify that the above-mentioned Applicant
has been security vetted and cleared by us. We also take full
responsibility for Applicant's employment while in the
United Kingdom.

For the Director, Office of Strategic Services

VCW

Security Officer

7494/PB ✓
16/12/44

74A
PF 44255C R O S S - R E F E R E N C E .SUBJECT:- Joseph Walden.

According to information received from the American Embassy on 24.8.38, Leon Minster who had been a close associate of Joseph Walden had been identified by Constance Boerger as a friend of her sister Mrs Ruth Rubens.

Information sent to S.I.S. on 30.8.38.

Original in PF 44218 (Minster) 27a, 29a, dated as above.
MGH
31.8.38.

S. Form 81.

COPY.SECRET.

dated 18.11.37.

My dear Liddell,

You may remember speaking to me on the telephone some short while ago on the subject of the profession of Dentistry as a cover occupation for Soviet agents. You will also remember that I had a strong impression that fictitious dentists had figured in cases of Communist secret activities and Soviet espionage in the Far East and I mentioned the case of "WALDEN" as one which I thought brought out this point. In fact, we were unable to trace any reference to a dentistry connexion in the "Walden" case and we therefore _____ for information on the subject.

_____ drawing attention to the case of Rosa YORK, who was reported to have met in Shanghai a dentist named DUBOVSKI. As all attempts to consult DUBOVSKI professionally failed, a strong presumption was raised that his profession of dentist was merely a cover for illegal activities (ref. _____ of 21.8.35). Will you also refer to my _____ of 30.9.35., which mentions a German posing as a dentist.

_____ the "Walden" case and has evidently the same impression about it as that which I had, but, having destroyed his papers on the subject, he cannot give an explicit reference. I suppose his recollection may be regarded as faulty in this case just as mine was.

Yours sincerely,

(sd) V.V.

Original filed in S.F.411/China, Vol 14, 192a.

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958 MAY 2008

THIS IS A COPY
OF THE ORIGINAL DOCUMENT
AND IS NOT TO BE USED
FOR ANY OTHER PURPOSE
EXCEPT FOR RESEARCH
AND EDUCATION
ONLY

Secret.

In reply, state NUMBER and DATE.

dated 17.11.37.

M.I.5. (Mr. White)

Joseph WALDEN.

Reference your PF.44255/B.4b, dated 29.10.37.:

that it is impossible to say under what name "Joseph WALDEN" is at present going, as no trace of him can now be found either in the French Concession or in the International Settlement.

F.D.T.

for V.V.

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958. MAY 2008.

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN THE PUBLIC RECORDS
SECTION

PF.44255/B.4b

71a
29th October, 1957.

Dear Major Vivian,

With reference to your [redacted] dated 31.3.57 and 26.10.57, I presume that the unidentified WALDEN of these letters must be Joseph WALDEN of your previous reports, who in your [redacted] dated 2.9.58 you stated to have been definitely identified as Dr. Maksin RIVOSH.

Now that he is at large, it would be interesting to know which name he is using.

Yours sincerely,

[Handwritten signature]

Major V. Vivian, C.B.E.,
S.I.S.

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958. MAY 2008.

[Handwritten signature]
30/10/37

THIS IS A COPY
ORIGINAL DOCUMENT REMAINS
IN DEPARTMENT OF THE ARMY
SECTION OF THE RECORDS
ACT 1958

Secret.

700
In reply, state NUMBER and DATE.

, dated 26.10.37.

M.I.5. (Captain Liddell)

Would you kindly refer to correspondence regarding the case of the unidentified Communist WALDEN, arrested in Shanghai in May 1935 (vide of 31.3.37., etc.).

dated 15.10.37., to the effect that WALDEN has been released by the Chinese authorities.

P.D.T.
for V.V.

Copy to I.P.I.

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958. MAY 2008.

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT OF
3rd OF THE PUBLIC RECORDS
NOT 1968

Form C/3—Sept., 1931.

Secret.

In reply, state NUMBER and DATE.

dated 31.3.37.

M.I.5. (Captain Liddell)Communist Activities in Shanghai.

Reference para.(1) of our dated 18.12.35.:

that Brian NAIDIS had been released from custody by the Chinese authorities and was at that time in Shanghai. He had been interviewed and stated that, whilst in prison, he was put into the same cell as WALDEN. The latter was most careful in his statements, but said:

- (i) He was not engaged on Communist work of any kind, but solely on espionage work concerned with the National Government Forces, e.g. their strength, composition and distribution against the Communists.
- (ii) Prior to proceeding to China he underwent a special course in espionage at Moscow.
- (iii) He knew that the majority of foreign workers in the Far East, engaged on illicit work for the Comintern, were not Russian, but non-Russian.
- (iv) Each man so engaged, except the less important persons, was provided with a Diplomatic Passport, but with specific instructions not to use the same, unless arrested, when he would be formally recognised either by the Soviet Embassy or Soviet Consulate General as a regular member of the staff.
- (v) He, WALDEN, was not a Russian, but a Pole, although he was born in France.

NAIDIS, [redacted] was known to be endeavouring to secure a forged passport, which he admitted was not for himself, but was thought to be for Mrs. WALDEN. Touch was being maintained with him and anything further of interest obtained will be reported.

Copy to I.P.I.

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958. June 2008

R.D.T.

for V.V.

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT OF THE
3 IN OF THE PUBLIC RECORDS
NOT FOR

Secret.

In reply, state NUMBER and DATE.

dated 14.7.36.

M.I.5. (Mr. Cumming)

George Alexander KENNEDY. *Sec*

We sent the information contained in your PF.44255/DS9,
dated 2.6.36.

(which has crossed our letter to him), stating that KENNEDY
and his wife arrived in Shanghai in the "Tatsuta Maru" on
the 8th June, 1936. The particulars given regarding KENNEDY
and his passport agree with those given in your letter, except
that his passport number is given as 278331, whereas you re-
ported it as 278231.

After one or two moves, KENNEDY and his wife were report-
ed on the 12th June to have taken up their quarters in Room
No.655, Hamilton House, Shanghai.

R.D.T.

for V.V.

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958. MAY 2006

NOT 1953
OF THE PUBLIC RECORDS
DEPARTMENT UNDER SECTION
OF THE DOCUMENTS RETAINED
THIS IS A COPY

Form C/3—Sept., 1931.

Secret.

In reply, state NUMBER and DATE.

dated 4.6.36.

M.I.5. (Mr. Cumming)

For record purposes, you may care to have the following information,

2.150/39
and BRINSON

, regarding the prosecution in China of LEMCKE

as to the reason for BRINSON receiving a suspended sentence and was informed that the only United States Federal law under which he could be charged was one against "attempting to effect the release of a prisoner in the custody of a Federal officer". "WALDEN", of course, was never in the custody of a U.S. Federal officer and, therefore, the law did not really apply to BRINSON's case. Thus the U.S. local authorities were placed in a quandary. There is no doubt, it is stated, about their anxiety to deal severely with BRINSON for his interference in the affairs of a friendly country and the local American judge used stern language on this subject in the course of the case. It was, however, practically certain that, if BRINSON appealed to a higher court in the United States against the sentence of the court in China, his appeal would have succeeded and the judge, therefore, chose to give BRINSON a suspended sentence as being the only method of punishing him in the circumstances.

The case against LEMCKE was not considered strong enough by the prosecution to be proceeded with, with any hope of success.

Copy to I.P.I.

E.D.T.

for V.V.

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958 MAY 2008

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN THE RECORDS SECTION
OF THE PUBLIC RECORDS
OFFICE

66A

PF.44255/DS9.

2nd June 1936.

Dear Major Vivian,

We have just received a belated reply from the Americans to an enquiry we addressed to them upon receipt of your of 9.8.35. They say that George Alexander KENNEDY was issued with passport No. 278231 at Washington on 7.5.36., to include his second wife, Katharine Jean Wilson KENNEDY, whom he married on 22.10.34. At this time they stated ~~that~~ intention of leaving the United States from the port of Los Angeles on 18.5.36 on the steamship "Tatsuta Maru" to visit China for a period of four months to attend "Conferences on education".

KENNEDY, who was born at Mokanshan, China, on 17.5.1901, is stated to possess an excellent knowledge of Chinese, and studied Russian in the Russian Language Section of the Columbia University during the summer of 1935. He appears to have shown a marked proficiency in the language.

Our link adds that in view of the extraterritorial status of Mr and Mrs KENNEDY while they are in China, the American Consul General in Shanghai has been provided with the substance of information concerning them "for such action as he may see fit to take".

Yours sincerely,

N. C. E.

Major V. Vivian, C.B.E.,
S.I.S.44
2.6.36

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958. MAY 2008

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT OF THE PUBLIC RECORDS
ACT 1958

65A

AMERICAN EMBASSY

LONDON

CONFIDENTIAL

May 26, 1936.

Dear Liddell:

Reference is made to your letter, dated August 15, 1935 (File No. 411/China/D.S.9.a.), in which information was requested regarding an American citizen, Mr. George Alexander Kennedy, whose post office box in Shanghai had been used as a Communist cover address after he left Shanghai.

Mr. Kennedy was issued Department passport No. 278231 at Washington on May 7, 1936, to include his second wife, Katharine Jean Wilson Kennedy, whom he married on October 22, 1934. They intend to leave the United States from the port of Los Angeles on May 18, 1936, on the Steamship TATSUTA MARU to visit China for a period of four months, to attend "Conferences on education".

As is already known, Mr. Kennedy, who was born at Mokanshan, China, on May 17, 1901, possesses an excellent knowledge of Chinese. It may be of interest in this connection that in the summer of 1935 Mr. Kennedy studied Captain G. M. Liddell,

Russian

Box 500, Parliament Street, B.O.,
London, S. W. 1.

65A

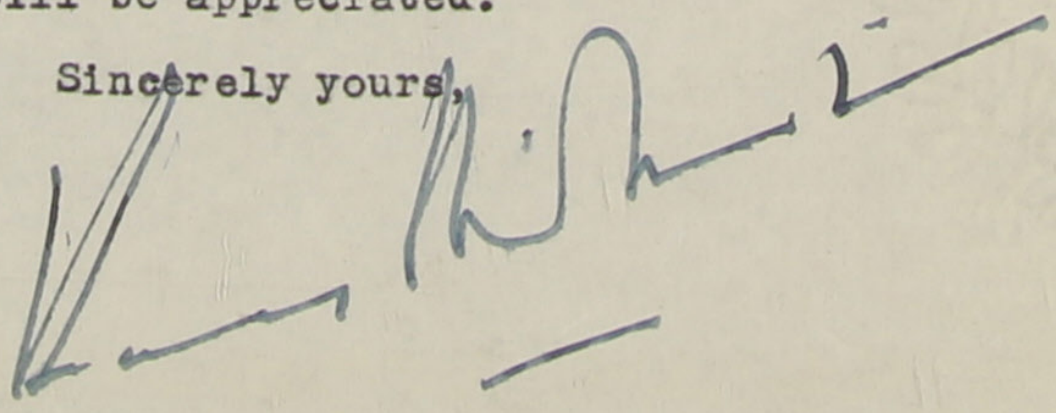
-2-

Russian in the Russian Language Section of the Columbia University summer session, which was given in collaboration with the American Council of the Institute of Pacific Relations, and that he showed such a marked proficiency in the language that he was transferred from the beginner's to the advanced class in the middle of the semester. Available information regarding his academic qualifications shows that he graduated in 1922 from the College of Wooster in Ohio with an A. B. degree; that in 1934 he completed the requirements for the degree of Doctor of Philosophy at the University of Berlin; and that he is a Fellow of the American Council of Learned Societies.

In view of of the extraterritorial status of Mr. and Mrs. Kennedy while they are in China, the American Consul General at Shanghai is being provided with the substance of the information available concerning them, for such action as he may see fit to take in the premises.

Any further data available here will be promptly transmitted to you and any information that may develop with respect to the activities of Mr. and Mrs. Kennedy while in China will be appreciated.

Sincerely yours,



position in the American Language Section of the Columbia
 University summer session, which was given in collabora-
 tion with the American Council of the Institute of Pacific
 Relations, and that he showed such a marked proficiency
 in the language that he was transferred from the Institute
 to the advanced class in the middle of the semester.
 Available information regarding his academic qualifications
 shows that he graduated in 1932 from the College of Commerce
 in Ohio with an A. B. degree; that in 1934 he completed
 the requirements for the degree of Doctor of Philosophy
 at the University of Berlin; and that he is a fellow of
 the American Council of Learned Societies.
 In view of the extrajudicial status of Mr. and
 Mrs. Kennedy while they are in China, the American Council
 General at Shanghai is being provided with the substance
 of the information available concerning them, for such
 action as they may see fit to take in the process.
 Any further data available here will be promptly
 furnished to you and any information that may develop
 with respect to the activities of Mr. and Mrs. Kennedy
 while in China will be reported.

Sincerely yours,

COPY.

Original in List 183 (428) GIVENS 7a, held by D.S.S. Sec. 64A

Copy sent to S.I.S. on 8.5.36 under L.183(428).

I saw Mr [T.P.Givens] late of the Shanghai Police, who has retired.

He is staying at the Imperial Hotel, Russell Square, until Tuesday the 12th May, after which he is going to his home at Glen of Aherlow, Tipperary, Ireland.

He has been in Shanghai 29 years and has a very intimate knowledge of conditions and procedure out there.

He said that the United Front policy in China had taken the form of anti-Japanese agitation carried on through the Communist Party of China. He thought also that the third party, the moving spirit of which is Mme. SUN YAT SEN, would become very prominent. It has always acted more or less in Communist interests in demanding freedom of the press, fair trial for political prisoners, etc. Her activities correspond to some extent to those of the Civil Liberties Union. The head of her party was executed by the Chinese some time ago and doubtless they would attack her if it was not for her influential position and relations.

Mr Givens said that there had so far been no real organised successful attempt to re-establish the Far Eastern Bureau, which was broken up at the time of the arrest of NOULENS @ RUEGG. He thinks that [GLASS] was beginning to get things going, but that he became alarmed by the observation on his movements and left hurriedly on Christmas Day 1932, for Vladivostock. Since his departure there have been a number of Soviet envoys, the principal of whom was [RIVOSH]. Mr Givens said that in his own opinion this man was really out for money and that he was also supplying information to the Japanese. It appears that RIVOSH had an agent who was a highly-placed official of Chian Kai Shek, through whom he obtained detailed information about the plans of the Chinese Army. The Chinese suspected this individual and in order to prove their case sent him on a mission to Shanghai in order that they might see whether he made contact with local Communists, The envoy

14/5/36

- 2 -

64A

got off the boat at Hankow and evaded the watchers, but his wife proceeded to Shanghai where she was visited by an agent who was subsequently traced back to RIVOSH. It was in this way that RIVOSH was arrested. He only received a light sentence of two years owing to pressure by the Japanese.

Mr Givens said that the Japanese had considerable influence in these matters. He thought that the Chinese might possibly be ready to release the RUEGGS, but that the Japanese would not allow this, as at the time of his trial proof had been forthcoming that he had a net-work of agents in Japan. According to Mr Givens, the RUEGGS are well treated, by Chinese standards. They are allowed to see each other for an hour every day, have fairly good quarters and any literature that they wish to obtain. At one time they were allowed to receive any visitors who made application to the Police, but these visits have now been restricted. The identity of the RUEGGS was never really definitely established. When they were arrested their photographs were circulated to all branches of the Chinese Kuo Min Tang abroad. The local branch in Johannesburg forwarded a photograph of a number of people who attended a Communist meeting there in 1928. This photograph contained portraits of a man and woman who were thought to be identical with the RUEGGS. A copy of this photograph is in the possession of the Shanghai Police. Mr Givens did not know whether the matter had ever been taken up with the South African authorities.

Mr Givens, who is 47 years of age, is anxious if possible to obtain some further employment on the lines of his late work. I said that I knew of none at the moment, but if anything did turn up I would let him know.

Mr Givens told me that anti-Communist activity elsewhere than in the zones of the Army was mainly under the direction of the Kuo Min Tang Party. For this purpose the Party had a secret agent in Shanghai with whom Mr Givens had been in touch. When RIVOSH had been at the head of the Far Eastern Bureau, this Kuo Min Tang agent had been in his pay.

I told Mr Givens that the Communist Party here received an intimation that he had done the cause a lot of harm in China and should therefore not be allowed to rest in peace. I said I did not think he need

64

- 3 -

anticipate anything very terrible, but I thought that he might perhaps like to know this. He did not seem to be in the least worried.

S.8.
7.5.36.

*Copy in P.F. 734.562.***Secret.**

In reply, state NUMBER and DATE.

dated 30.4.36.

M.I.5. (Mr. Cumming)

Reference our of 18.12.35. and your PF.44255/DS9, dated 15.4.36., regarding the prosecution in China of LEMCKE and BRINSON, the two Americans arrested in connexion with the "Walden" case:

that ^{PF.150,1309.} BRINSON was sentenced in the United States Court, Shanghai, to six months' imprisonment and a fine, but that the sentence was suspended for two years. He is stated to be now in the United States. The case against LEMCKE, however, was not proceeded with by prosecution and he is still in Shanghai.

A full report on the matter is being forwarded by Bag.

Copy to I.P.I.*E.D.T.*

for V.V.

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958. *June 2008*

62^a

PF.44255/DS9.

15th April 1936.

Dear Major Vivian,

Borum of the American Embassy rang up the other day to say that he had noticed in the "Evening Standard" of 10.2.36 (probably the lunch edition as we cannot trace the extract) that there was a statement to the effect that BRINSON, the American, had been sentenced to six months' imprisonment and fined for the part he played in the attempt to rescue RIVOSH.

I wonder whether by any chance you have heard anything about this?

Yours sincerely,

NEDE.

Copy in P.F. 150, 139.

Major V. Vivian, C.B.E.,
S.I.S.

ST
15.4.36

44

61a

PF.44255/DS8.

6th March 1936.

Dear Atherton,

Many thanks for your letter dated 17.2.36., and for the documents which accompanied it.

I find on enquiry that we had already received photographic reproductions of the diary found on Joseph WALDEN, but that unfortunately it had not been possible to make anything of them. We have likewise submitted the cypher message found on [Harry BERGER] to an expert, but in the absence of any data as to the method used we have not been able to deal with it.

Yours sincerely,

GTL.

Colonel Sir Vernon Kell.

Ray Atherton Esq.,
American Embassy.

Cops in P.F. 42988 (EWERT & BERGER)

✓
noted
6/2/36

Secret.

60A
In reply, state NUMBER and DATE.

dated 4.3.36.

M.I.5. (Captain Liddell)

We have no objection to your informing the
Americans regarding the ciphers found on Joseph
WALDEN and ^{PF. 42938} [Harry BERGER] as suggested in your
PF.44255/DS8, dated 2.3.36.

H.

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958. MAY 2008

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
2(1) OF THE PUBLIC RECORDS
ACT 1958

Copy in PF.42988, EWERT @ BERGER.

59^a

PF.44255/DS8.

2nd March 1936.

Dear V.V.,

With reference to your _____ dated 25.2.36.,
would there be any objection to my saying the following to the
Americans?

"I find that we had already received reproductions of the
diary found on Joseph WALDEN, but that unfortunately it had not been
possible to make anything of it. We have likewise submitted the
cypher message found on [Harry BERGER] to an expert, but in the absence
of any data as to the method used we have not been able to deal with
it."

Yours sincerely,

ONE

Major V.Vivian, C.B.E.,
S.I.S.

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958. MAY 2008.

✓
mgs
2/3/36

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
5 (1) OF THE PUBLIC RECORDS
ACT 1958

COPY FILED IN PF 42988 KIWERT @ BERGER

Secret.

In reply, state NUMBER and DATE.

dated 25.2.36.

M.I.5. (Captain Liddell)

Reference your PF.42988, dated 19.2.36.:

(1) The photographic reproductions of the diary found on Joseph WALDEN had already been received by us from Shanghai in June of last year. We are unfortunately unable to read any of the entries. ^X I am returning the photographic copy you sent herewith.

(2) The paper seized from Harry BERGER has been duly examined by C. & C.S. The system is the same as that used in other Comintern enciphering, but there is insufficient material to enable the keys to be worked out. We have retained the ^{copy} photostat of this message.

X Not enclosed
ATC

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
3 (4) OF THE PUBLIC RECORDS
ACT 1958. MAY 2008.

THIS IS A COPY
ORIGINAL DOCUMENT RETAINED
IN DEPARTMENT UNDER SECTION
54(1) OF THE PUBLIC RECORDS
ACT 1958

*Delicate original photocopies
Handle with care.
Use the prints in other envelope.*

P.A.'d in P.F. 44,255

Attached to Serial 58a

Photographic reproduction of part of
Joseph WALDEN's diary.

*(incomplete)
(see 5113 b for decrypt)
+ 598 a for list of names*

-11-

THUR. JAN. 2 1936

1001.21
-851.22 (19)
149.99
+600.00
749.99 = 750
30.00
1.054
50
1.100

FRI. JAN. 3 1936

100 Transl.
1350

SAT. JAN. 4 1936

London arrival
passage

Maclariis

SUN. JAN. 5 1936

2nd after Christmas

Wang Lufan
in Lufan

MEMO.

40053 3208
92729 84 9903
2203 3407 8590
5012 5922 0845
165
887299 089 3407
596 8590 0845
1721 256
85999 880128
88499

MEMO.

845
+7
1
3
95
2
1
65
192
422

850100 65

1936

	Sun.	Mon.	Tu.	Wed.	Th.	Fri.	Sat.
Jan.	..	..	..	1	2	3	4
	5	6	7	8	9	10	11
	12	13	14	15	16	17	18
	19	20	21	22	23	24	25
	26	27	28	29	30	31	..
Feb.	..	..	..	..	..	..	1
	2	3	4	5	6	7	8
	9	10	11	12	13	14	15
	16	17	18	19	20	21	22
	23	24	25	26	27	28	29
Mar.	1	2	3	4	5	6	7
	8	9	10	11	12	13	14
	15	16	17	18	19	20	21
	22	23	24	25	26	27	28
	29	30	31	..	..	..	..

1936

	Sun.	Mon.	Tu.	Wed.	Th.	Fri.	Sat.
April	..	..	..	1	2	3	4
	5	6	7	8	9	10	11
	12	13	14	15	16	17	18
	19	20	21	22	23	24	25
	26	27	28	29	30	..	..
May	..	..	..	..	..	1	2
	3	4	5	6	7	8	9
	10	11	12	13	14	15	16
	17	18	19	20	21	22	23
	24	25	26	27	28	29	30
	31	..	..	..	..	..	..
June	..	1	2	3	4	5	6
	7	8	9	10	11	12	13
	14	15	16	17	18	19	20
	21	22	23	24	25	26	27
	28	29	30	..	..	..	..

KV 2/2963
50

-10-

WED. APRIL 17 1935

FRI. APRIL 19 1935
Good Friday

MON. APRIL 29 1935

WED. MAY 1 1935
SS. Philip and JamesTHUR. APRIL 18 1935
Maundy Thursday
O 10.10 p.m.

SAT. APRIL 20 1935

TUES. APRIL 30 1935

THUR. MAY 2 1935
● 10.36 p.m.

FRI. MAY 3 1935

SUN. MAY 5 1935
2nd after Easter

FRI. NOV. 15 1935

SUN. NOV. 17 1935
22nd after Trinity

SAT. MAY 4 1935

MON. MAY 6 1935
Bank Holiday in Scotland

SAT. NOV. 16 1935

MON. NOV. 18 1935
(12.36 a.m.

TUES. DEC. 17 1935

THUR. DEC. 19 1935

SAT. DEC. 21 1935
S. Thomas. Ember Day

MON. DEC. 23 1935

84792922 9.57 p.m.
002139002 844228842592V
54228462 88422077 "
07744737-0772V32 "
10199792 39492 8842 "
54215462 781402 894143
WED. DEC. 18 1935
Ember Day
00221548 24322825 00271
2012141 120522201 00421
11-12.
5-6.

FRI. DEC. 20 1935
Ember DaySUN. DEC. 22 1935
4th in Advent

TUES. DEC. 24 1935

WED. DEC. 25 1935

FRI. DEC. 27 1935

SUN. DEC. 29 1935
1st after Christmas

TUES. DEC. 31 1935

Christmas Day
● 5.49 p.m.
0472288410169
817296230575028
00442071010192
05415079007

THUR. DEC. 26 1935
S. Stephen. Boring DaySAT. DEC. 28 1935
Holy Innocents'

MON. DEC. 30 1935

WED. JAN. 1 1936

Senett
dines
Nammol

impreable
letters of one
let
294445 294453
846565
(2145)

Leon.
Thord.
Just 1872-1873
994229417-1873
Rather 9941-1873
Name from 1873-1875-1876

Circumcision
Bank Holiday in Scotland
1 3.15 p.m.
2030 810000
810000
210000

GROOP I

KV2/2963

51

Due to the original size of these documents which remain sealed in polyester sleeves, smaller scans have been produced and inserted into this file.

Please do not attempt to open the sealed polyester sleeve.

Thank you

1935

FEBRUARY

16

FEBRUARY

17

SUN

18

MON

P.

295.13

19

TUES

20605 (19605?)

18

20

WED

MEMORANDA

19

20

SU

21

MO

26

TUE

27

WED

KV2/2963
52A

1935 FEBRUARY 16 FEBRUARY 1935

17
SUN21
THUR18
MON22
FRI19
TUES23
SAT20
WED

MEMORANDA

1935 FEBRUARY 17 FEB-MARCH 1935

24
SUN28
THUR25
MON

March

1
FRI26
TUES2
SAT27
WED

MEMORANDA

1935 MARCH 18 MARCH 1935

3
SUN7
THUR4
MON8
FRI5
TUES9
SAT6
WED

MEMORANDA

1935 MARCH 19 MARCH 1935

10
SUN14
THUR11
MON15
FRI12
TUES16
SAT13
WED

MEMORANDA

1935 MARCH 20 MARCH 1935

24
SUN28
THUR25
MON29
FRI26
TUES30
SAT27
WED

MEMORANDA

KV 2/2963 S2 B-